



1 Fund 2154
Docket No.: 5543P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

J.J. GARCIA-LUNA-ACEVES, ET AL.

Application No.: 09/845,088

Filed: April 26, 2001

For: **SYSTEM AND METHOD FOR
CONTROLLING ACCESS TO CONTENT
CARRIED IN A CACHING
ARCHITECTURE**

Art Group: 2154

Examiner: Siddiqi, Mohammad A.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

07/12/2005 FHETEKI1 00000001 09845088

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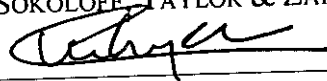
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The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

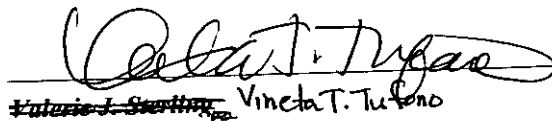


Date: July 08, 2005

Chze Koon Chua, Reg. No. 53,831

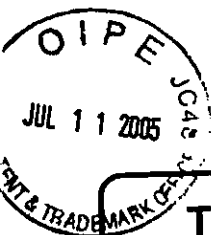
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~~Katerine J. Swartz~~ Vincela T. Tuono

07-08-05

Date



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Art Unit	2154
Examiner Name	Siddiqi, Mohammad A.
Attorney Docket Number	5543P003

Total Number of Pages in This Submission

13

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☒ PTO/SB/08

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Basic Filing Fee

☐ Declaration/POA

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

- 4 cited References;
- Return postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Chze Koon Chua, Reg. No. 53,831

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

July 8, 2005

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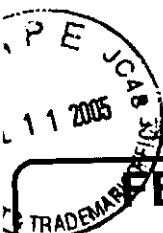
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~~Valerie T. Sterling~~ Vincent T. Tufano

Signature

Date

July 8, 2005



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/845,088
Filing Date April 26, 2001
First Named Inventor J.J. Garcia-Luna-Aceves
Examiner Name Siddiqi, Mohammad A.
Art Unit 2154
Attorney Docket No. 5543P003

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
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FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____				SUBTOTAL (2)	(\$) 180.00

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Chze Koon Chua	Registration No. (Attorney/Agent)	53,831	Telephone (408) 947-8200
Signature		Date	07/08/05	

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 12/15/2004.
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	Garcia-Luna-Aceves, J.J.
Group Art Unit	2154
Examiner Name	Siddiqi, Mohammad

Sheet 1 of 2

Attorney Docket No: 5543P003

US PATENT DOCUMENTS

Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,774,660	06/30/1998	Brendel, Juergen, et al.	
		US-5,822,820	10/13/1998	Horikawa, Koichi, et al.	
		US-6,092,178	07/18/2000	Jindal, Anita, et al.	
		US-6,138,162	10/24/2000	Pistriotto, Joseph C., et al.	
		US-6,154,777	11/28/2000	Ebrahim, Zahir	
		US-6,185,619	02/06/2001	Joffe, Rodney L., et al.	
		US-6,205,477	03/20/2001	Johnson, Richard A., et al.	
		US-6,236,652	05/22/2001	Preston, Dan A., et al.	
		US-6,304,913	10/16/2001	Rune, Johan	
		US-6,314,088	11/06/2001	Yamano, Shigeki	
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		US-6,317,778	11/13/2001	Dias, Daniel M., et al.	
		US-6,397,246	05/28/2002	Wolfe, Daniel C.	
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		US-6,438,652	08/20/2002	Jordan, Kevin M., et al.	
		US-6,449,647	09/10/2002	Colby, Steven, et al.	
		US-6,553,376	04/22/2003	Lewis, Kevin T., et al.	
		US-6,577,609	06/10/2003	Sharony, Jacob	
		US-6,578,066	06/10/2003	Logan, David B., et al.	
		US-6,611,872	08/26/2003	McCanne, Steven	
		US-6,687,731	02/03/2004	Kavak, Nail	
		US-6,721,291	04/13/2004	Bergenwall, Martin, et al.	
		US-6,735,633	05/11/2004	Welch, William	
		US-6,741,585	05/25/2004	Munoz, Robert J., et al.	
		US-6,785,704	08/31/2004	McCanne, Steve	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. *	Foreign Document No Country Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		EP-0817444	01/07/1998	Ebrahim, Zahir		
		EP-0817444 A3	12/27/2000	Ebrahim, Zahir		
		EP-0959601	11/24/1999	Chauhan, Abhishek		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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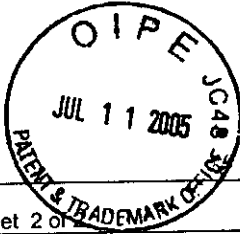
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DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO
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STATEMENT BY APPLICANT**
(Use as many sheets as necessary)



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Examiner Name	Siddiqi, Mohammad

Sheet 2 of 2

Attorney Docket No: 5543P003

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Cisco Distributed Director", http://www.tlc.iet.unipi.it/VoiceIP/cisco/114.pdf , (October 1998),	

Filed: July 8, 2005

EXAMINER

DATE CONSIDERED